PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE nd to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no pers	ons are required to respond to a collection of the	ATTORNEY'S DOCKET NUMBER
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of infi TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		1599-0284PUS1
		U.S. APPLICATION NO. (If known, U.S. 214
	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
NTERNATIONAL APPLICATION NO. PCT/KR2003/002470	47 Newsomber 2003	16 January 2003
TITLE OF INVENTION NOVEL PRO	CESS FOR PREPARING A 5-HYDR	OXY-3-OXO-HEXANOIC ACID
DERIVATIVE	un-lk SHIN and Bo-Seung CHOI	
		and other information:
Applicant herewith submits to the United St	tates Designated/Elected Office (DO/EO/	US) the following items and other information:
This is a EIRST submission of items concerning a submission under 35 0.5.5.		
and the support of the submission of items concerning a submission under 35 0.3.6. 371.		
3. x This is an express request to be include items (5), (6), (9) and (	pegin national examination procedures	(35 U.S.C. 371 (f)). The submission must
4. The US has been elected (Arti		
A copy of the International Application as filed (35 U.S.C. 371 (c)(2))		
the bard bareto (required only if not communicated by the International Bureau).		
a. X is attached hereto (require	by the International Bureau.	
<ul> <li>b. has been communicated by the International Bureau.</li> <li>c. is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>		
The state of the International Application as filed (35 U.S.C. 3/1 (C)(2)).		
<u>                                   </u>	of the memoral of	
a. x is attached hereto.		
b. has been previously submitted under 35 U.S.C. 154(d)(4).		
7. x Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))		
a. are attached hereto (requ	ired only if not communicated by the In	nternational Buleau).
b. have been communicated by the International Bureau.		
c. have not been made; however, the time limit for making such amendments has NOT expired.		
have not been made and will not be made.		
a. X have not been made and minute and an arrangement of the daims under PCT Article 19 (35 U.S.C. 371 (c)(3)).  8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).		
(1) a invertor(s) (35 U.S.C. 371 (c)(4)).		
10. An English language translat	tion of the annexes of the International (5)).	Preliminary Examination Report under PCT
Items 11 to 20 below concern doc	cument(s) or information included:	
Displacure St	latement under 37 CFR 1.97 and 1.90.	
11. x An Information Disclosure diatement and a separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  12. x An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. A preliminary amendment.		
14. An Application Data Sheet u	inder 37 CFR 1.76.	·
15. A substitute specification.		
16. A power of attorney and/or of	change of address letter.	
16. A power of attorney and/or straings of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.  17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.		
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).  19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. x Other items or information: PCT/ISA/210; PCT/IPEA/409; Zero (0) Sheets of Formal Drawings		
	•	,

## JC17 Rec'd PCT/PTO 24 JUN 2005

PTO-1390 (Rev. 02-2005)

PTC-139U (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. 4 FENOW, SEE 3 1CFU 1599-0284PUS1 PCT/KR2003/002470 PTO USE ONLY CALCULATIONS The following fees have been submitted 300.00 21. | x | Basic national fee .....\$300 Examination fee 22. | x | If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 200.00 All other situations 23. | x | Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the 400.00 All other situations. 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE Extra sheets thereof (round up to a whole number **Total Sheets** x \$250.00 11 - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). NUMBER FILED | NUMBER EXTRA RATE **CLAIMS** 0.00 х 17 - 20 =Total claims 0.00 x 1-3 = Independent claims 360.00 360.00 MULTIPLE DEPENDENT CLAIM(s) (if applicable) 1,260.00 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 1,260.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). 1.260.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 40.00 1,300.00 TOTAL FEES ENCLOSED = Amount to be \$ refunded: Amount to be \$ charged: to cover the above fees is enclosed. 1,300.00 x A check in the amount of \$ in the amount of \$ Please charge my Deposit Account No. to cover the above fees. A duplicate copy of this sheet is enclosed. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any . A duplicate copy of this sheet is enclosed. overpayment to Deposit Account No. 02-2448 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been int, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: **GNATURE** James T. Eller, Jr. Date: June 24, 2005 NAME 39,538 CUSTOMER NUMBER: 02292 REGISTRATION NUMBER